SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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			01 360		westment Con	Ipally Act of 1940						
1. Name and Addre Baltera Robe		Person [*]		er Name and Ticke ANOVO HO	0	ymbol , <u>INC.</u> [ONVO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Dallela KUUE	<u>nt JI.</u>					······································	X	Director	10% 0	Owner		
(Last) 6275 NANCY I	(First)	(Middle)	3. Date 08/20	e of Earliest Transa /2014	ction (Month/E)ay/Year)		Officer (give title below)	Other below	(specify)		
		L, JUIIL 110					<u> </u>					
				nendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)		02121					X	Form filed by On	e Reporting Pers	on		
SAN DIEGO	CA	92121						Form filed by Mo Person	re than One Rep	orting		
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security	(Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A)	or	5. Amount of	6. Ownership	7. Nature		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities / Disposed Of (5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v		(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(eigi, pars, variants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)			Transa Code (Transaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$7.62	08/20/2014		A		31,500		(1)	08/20/2024	Common Stock	31,500	\$0	31,500	D	

Explanation of Responses:

1. The option shares vest and become exercisable in full on the earlier of (i) August 21, 2015 or (ii) immediately prior to commencement of the Issuer's next annual stockholder meeting.

/s/ Barry Michaels, Attorney-	08/26/2014
<u>in-fact</u>	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.