SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addre	ss of Reporting Perso	n [*]	2. Issuer Name and Ticker or Trading Symbol ORGANOVO HOLDINGS, INC. [ONVO]		lationship of Reporting Person(s) to Issuer ck all applicable) Director 10% Owner		
(Last) (First) (Middle) 6275 NANCY RIDGE DRIVE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/17/2016		Officer (give title below)	Other (specify below)	
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) SAN DIEGO	CA	92121		X	Form filed by One Repo Form filed by More than	0	
(City)	(State)	(Zip)			Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock ⁽¹⁾	08/17/2016		Α		7,500 ⁽²⁾	A	\$0.00	7,500	D	
Common Stock ⁽³⁾	08/17/2016		Α		7,500 ⁽⁴⁾	A	\$0.00	15,000	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	(Instr. Derivative Securities Acquired (A) or		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Disposed of (D) (Instr. 3, 4 and 5)				Amount or Number			Reported Transaction(s) (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	of Shares				
Stock Option (Right to Buy) ⁽¹⁾	\$4.35	08/17/2016		A		18,500		(5)	08/17/2025	Common Stock	18,500	\$0.00	18,500	D	
Stock Option (Right to Buy) ⁽³⁾	\$4.35	08/17/2016		A		18,500		(6)	08/17/2025	Common Stock	18,500	\$0.00	18,500	D	

Explanation of Responses:

1. Initial long-term equity award issued pursuant to the Issuer's non-employee director compensation plan.

2. The shares represent a grant of Restricted Stock Units ("RSUs"). The RSUs represent a right to receive shares of the Issuer's common stock, at no additional conversion or exercise price. The RSUs vest and settle over 12 equal quarterly installments measured from August 15, 2016.

3. Annual long-term equity award issued pursuant to the Issuer's non-employee director compensation plan.

4. The shares represent a grant of Restricted Stock Units ("RSUs"). The RSUs represent a right to receive shares of the Issuer's common stock, at no additional conversion or exercise price. The RSUs vest and settle in full on the earlier of (I) August 15, 2017 or (ii) immediately prior to the Issuer's next annual stockholder meeting.

5. The option shares vest and become exercisable over 12 equal quarterly installments measured from August 15, 2016.

6. The option shares vest and become exercisable in full on the earlier of (i) August 15, 2017 or (ii) immediately prior to the Issuer's next annual stockholder meeting.

Remarks:

<u>/s/ Mark Kessel</u>

** Signature of Reporting Person

08/19/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.